



Infant Program Funding in Special Education – A Refresher



Purpose

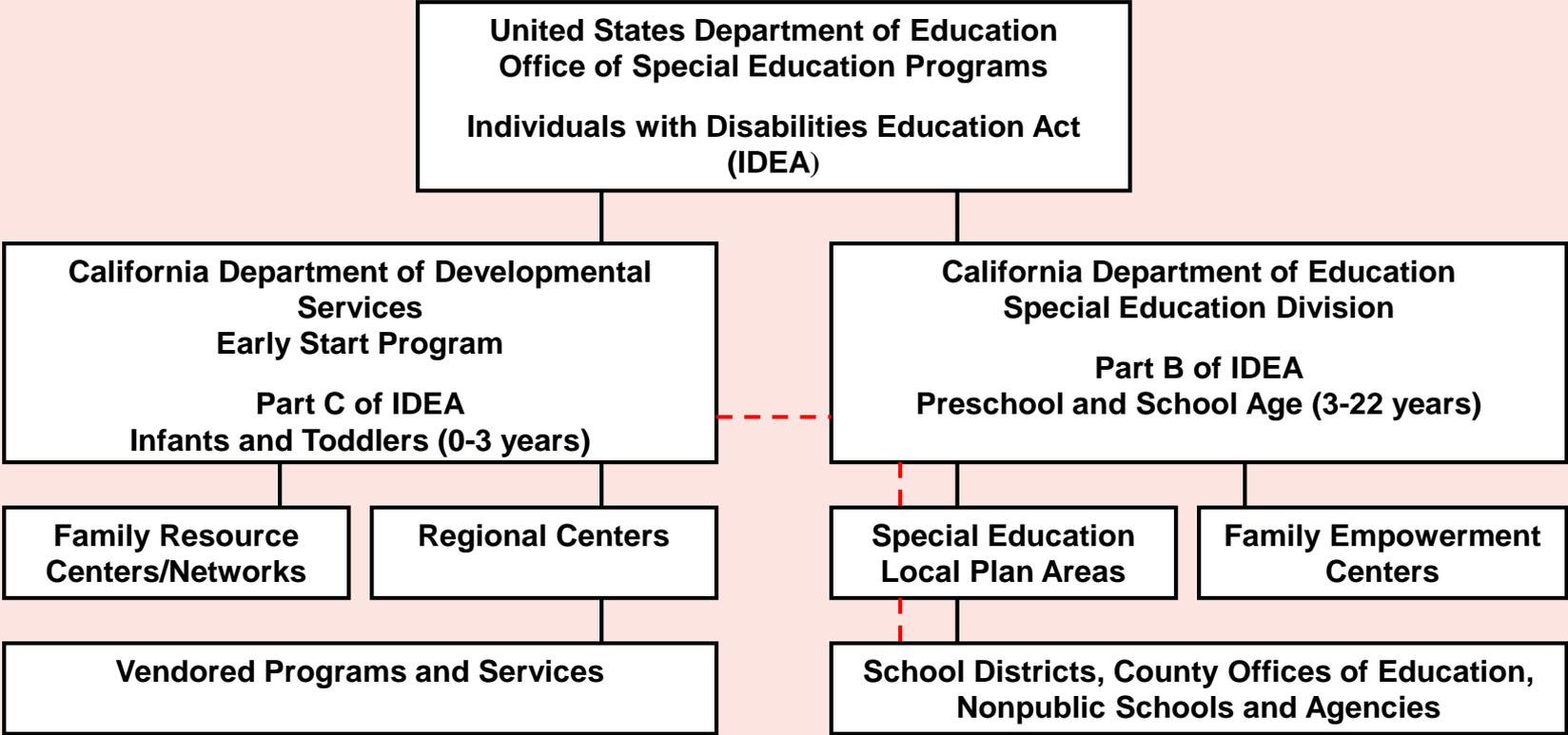
To review sources, calculations and requirements associated with infant program funding in special education.



Outcomes

- Participants will be familiar with:
 - Basic fund sources;
 - Allocation methodologies;
 - Program requirements; and
 - Legal references

Fiscal and Administrative Relationships for Early Intervention and Early Childhood Special Education Programs





Basic Fund Sources

Name	Type of Funds	Allocation Methodology	Legal Source
Infant Units (IPSUs)	State General Funds	J-50 Process	30 EC 56425 30 EC 56432
Federal Part C	IDEA Part C Funds	Formula Grant	14 GC 95003 et seq
Infant Discretionary Funds	State General Funds (formerly IDEA Part B funds)	Part C amendments Per Pupil Allocation	30 EC 56427
State Part C	State General Funds	Part C amendments	Budget Act (Provision 9 of Item 6110-161-0001)



Infant Units

- Units initially awarded to programs with 80-81 mandate (30 EC 56425)
- Additional Units awarded in program expansion in 1985-87
- Each LEA has a unique set of rates based on costs in 1980-81
- COLAs have been awarded overtime
- Rates were boosted following AB 602 to make up for lack of access to excess property tax revenue.



Basic Calculation Method

$$\$ = [(\text{units})(\text{unit rate}) + (\text{aides})(\text{aide rate})] \times [1 + \text{support service ratio}]$$

<u>Unit types</u>	<u>Aides</u>	<u>Support Service Ratio (SSR)</u>
SDC	1	Severe or Non Severe
SDC	2	Severe or Non Severe
RSP	0	Non Severe
DIS	0	Non Severe

<u>Units</u>	<u>Type</u>	<u>Rate</u>	<u>Aides</u>	<u>Rate</u>	<u>SSR</u>	<u>Rate</u>
1.25	SDC	\$47,224	2	\$18,300	Severe	.5701

$$\begin{aligned} &= [(1.25)(47,224) + (2)(18,300)] \times [1 + .5701] \\ &= [59,030 + 36,600] \times [1.5701] \\ &= \$150,149 \end{aligned}$$



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Growth and Recapture

- Growth standard is in the Budget Act
 - SDC – 16 per unit
 - DIS – 16 per unit
 - RSP – 24 per unit
- Recapture standard is in code (30 EC 56432(b))
 - SDC – 12 per unit
 - DIS – 12 per unit
 - RSP – 24 per unit
- Superintendent can grant waivers under certain circumstances



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How the money flows

- As part of the principal apportionment process. Monthly payments are established and adjusted
 - Advance
 - P-1
 - P-2
 - Annual



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California will provide a world-class education for all students, from early childhood to adulthood. The Department of Education serves our state by innovating and collaborating with educators, schools, parents, and community partners. Together, as a team, we prepare students to live, work, and thrive in a highly connected world.



Superintendent Tom
Tom Torlakson, the California State Superintendent of Public Instruction

What's New

- [Comment on Federal Shutdown](#)
Posted 1-Oct-2013
- [Torlakson Addresses Truancy Report](#)
Posted 30-Sep-2013
- [Statement on Minimum Wage Legislation](#)
Posted 25-Sep-2013
- [Posted 24-Sep-2013](#)

Highlights

- [Superintendent's Initiatives](#)
Superintendent Torlakson's plans to improve education for all children include *A Blueprint for Great Schools*, Career Readiness Campaign, Team California for Healthy Kids, Schools of the Future, and other in-progress working teams.
- [Local Control Funding Formula](#)
California's new school funding formula, enacted in 2013.
- [Bullying and Hate-Motivated Behavior Prevention](#)
Resources for parents, administrators, and students on how bullying can be prevented and addressed.
- [Common Core State Standards](#)
Information and resources to support implementation of California's new academic content standards for English language arts and mathematics.
- [School and District Reports](#)
Get reports on Academic Performance Index, Adequate Yearly Progress, High School Exit Exam, STAR, enrollment, dropouts, staffing, and English learners.

Resources

- Calendars
- Equal Opportunity
- Frequently Asked Questions
- Funding
- Jobs at CDE
- Laws & Regulations
- Multilingual Documents
- Publications
- Released Test Questions
- School Directory
- Staff Directory



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- Accounting
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- Auditing
- Fiscal Oversight
- Funding
- Reports
- Software & Forms



Finance & Grants

Funding and grant information, financial reporting and principal apportionment-related software, fiscal reports, and guidelines for proper financial accounting and solvency assessment.

Highlights

- [Local Control Funding Formula](#)
California's new school funding formula, enacted in 2013.
- [Principal Apportionment](#)
Exhibits providing current funding for Principal Apportionment payments.
- [Education Budget](#)
Fiscal reports on the Budget Act and related legislation.
- [Consolidated Application](#)
Distribution of select categorical funds from state and federal programs.
- [Race to the Top District Competition](#)
Grant funding for LEAs to develop personalized learning opportunities for students.
- [ARRA and Ed Jobs Act Federal Stimulus Funding](#)
Education-related aspects of these federal programs.

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What's New

- [PCSGP Planning and Implementation Grant FY 2013-14](#)
Posted 5-Aug-2013
- [Mandate Block Grant](#)
Posted 2-Aug-2013
- [Local Control Funding Formula](#)
Posted 25-Jul-2013
- [California Clean Energy Jobs Act \(Proposition 39\) Funding](#)
Posted 17-Jul-2013

[more What's New](#)

Resources

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Allocations/Apportionments

Distribution of state and federal funds for public local educational agencies and for private and nonprofit agencies participating in the child development and nutrition programs.

[Apprenticeships](#)

Annual Related and Supplementary Instruction (RSI) apportionments and annual hours by local educational agencies.

[Categorical Programs](#)

Entitlements and apportionments for state and federal categorical programs that are restricted to specific purposes.

[Child Development](#)

Fiscal information to aid child development contractors and information regarding reimbursement procedures.

[Federal Rural Education Achievement Program \(REAP\)](#)

Information for selected school districts that may be eligible to participate in the REAP initiatives.

[Lottery](#)

Quarterly lottery apportionments, revenue projections, and reports on lottery expenditures to the Legislature as required by the Budget Act.

[Nutrition Services](#)

Fiscal information to aid nutrition sponsors and to provide information about claiming meal reimbursements.



[Principal Apportionment](#)

Distribution of funds for local educational agencies (elementary school, high school and unified school districts; charter schools; and county offices of education) in support of the public school system.

[Special Education](#)

Distribution of state funds for special education programs.



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Home » Finance & Grants » Funding » Funding Profile » Funding Results [Printer-friendly version](#)

Funding Results

Special Education: Infant Program

Note: Recipients and funding amounts are subject to budget and administrative adjustments.

<http://www.cde.ca.gov/fg/fo/r14/infant12result.asp>

2012-13 P-2 Special Education Infant Entitlement Apportionment (certified 02-Jul-2013)

- [2012-13 P-2 Infant Entitlement](#) (XLS)
- [2011-12 Annual R-1 Infant Entitlement](#) (XLS)
- [2010-11 Annual R-3 Infant Entitlement](#) (XLS)

2012-13 P-1 Special Education Infant Entitlement Apportionment (certified 20-Feb-2013)

- [2012-13 P-1 Infant Entitlement](#) (XLS)
- [2011-12 Annual Infant Entitlement](#) (XLS)
- [2010-11 Annual R-2 Infant Entitlement](#) (XLS)

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Other stuff to know

- Program is 200 days - no extended year funding (Budget Act - Provision 8 of Item 6110-161-0001)
- There are no sub-caps - all units can be SDC2
- Be careful in shifting units



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Federal Part C

- Established as a result of the California Early Intervention Services Act (CAEIS)
- Federal Part C funds flow to the Department of Developmental Services (DDS)
- Amount for CDE determined through annual Budget Act
- Purpose of funds is to address the required and supplemental costs of implementing Part C
- CAEIS contains termination provision



Funding Model

- Based on cost impacts identified in 1993-94
- Originally allocated funds based on unfunded children with SLI
- Since 1995-96, funded based on increased number of SLI



Cost Impacts

- Increased SLI
- Base SLI
 - Direct OT and PT
 - Transportation of Families to EIS
- Procedural Offset
 - Service Coordination
 - Timeline
 - Year round assessment and IFS
- Additional Administrative



Calculation

$$\begin{aligned} & \text{(Increased SLI) x (\$9,535)} \\ + & \text{(Base SLI) x (\$1,221)} \\ + & \text{(Procedure Offset - \$20,000)} \\ + & \underline{\text{(Adminis. Offset - \$5,000)}} \\ = & \text{Total Allocation} \end{aligned}$$

$$\begin{aligned} & (8) \times (9,535) = 76,280 \\ + & (4) \times (1,221) = 4884 \\ + & 20,000 \\ + & \underline{5,000} \\ = & \$ 106,164 \end{aligned}$$



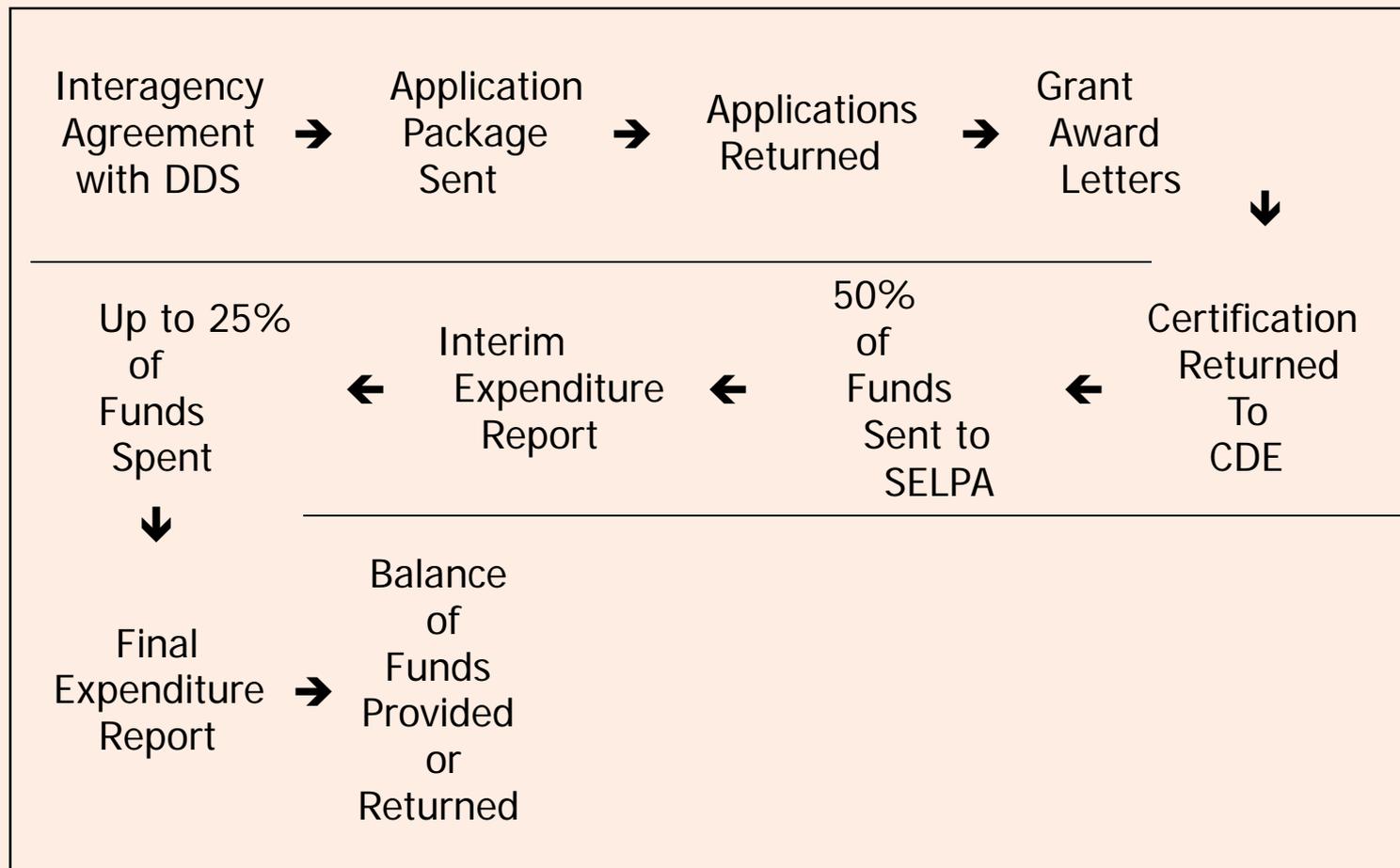
Base and Increased SLI

- Total SLI projected in 1993-94
- Base number established in study in 1995-96
- Basic services for “Base SLI” is part of Infant Unit funding
- For programs with units, total population to be served is the sum of (units x recapture standard)+(increased SLI)
- Moving from use of projected number to actual SLI reported in CASEMIS



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How the money flows





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Application, Budget, Expenditure Reports

- Application and Budget – due November 1, 2013
- Interim Expenditure Report – due January 31, 2014
- Final Expenditure Report – due September 1, 2014



Amendment Requests

Process for Requesting Additional Part C Funds

If a SELPA is required to expend funds in excess of the current year allocation and wishes to request an additional grant award for 2013–14

SELPA should consult the Guidance for Part C, Early Education Program Funds, and prepare an application requesting additional Part C funds.

CDE must receive your application for amendment **no later than April 18, 2014**. Requests received after this date will be returned.

Please send your request to Alexa Slater, Staff Services Analyst: 1430 N Street, Suite 2401 Sacramento, CA 95814



Important Programmatic Elements Related to Part C Funding

- Mandate to serve all SLI
- 45 day timeline from referral to IFSP
- Receive and act on referrals year round
- Additional Services (if identified in IFSP)
 - Direct OT and PT
 - Nutrition Services
 - Transportation of Families to EIS
 - Respite Care



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Infant Discretionary Funds

- Established in Ed Code in 1987
- Annually allocated in Budget Act
- Moved from federal Part B to State Gen'l fund in 2003-04
- Used for a variety of purposes over the years
 - Infant Expansion 1985-87
 - Unfunded Growth
 - Hold Harmless for changes due to Part C
 - Part C cost impacts



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Infant Discretionary Funds (cont.)

- Currently used to address additional needs for Part C funds (amendments)
- Remainder allocated on a per child basis
- Allocation methods
 - Part C – approved costs
 - Per pupil – (prior year Dec 1 count) x (per pupil amount)
- Allocated in Spring – can be used until September 30



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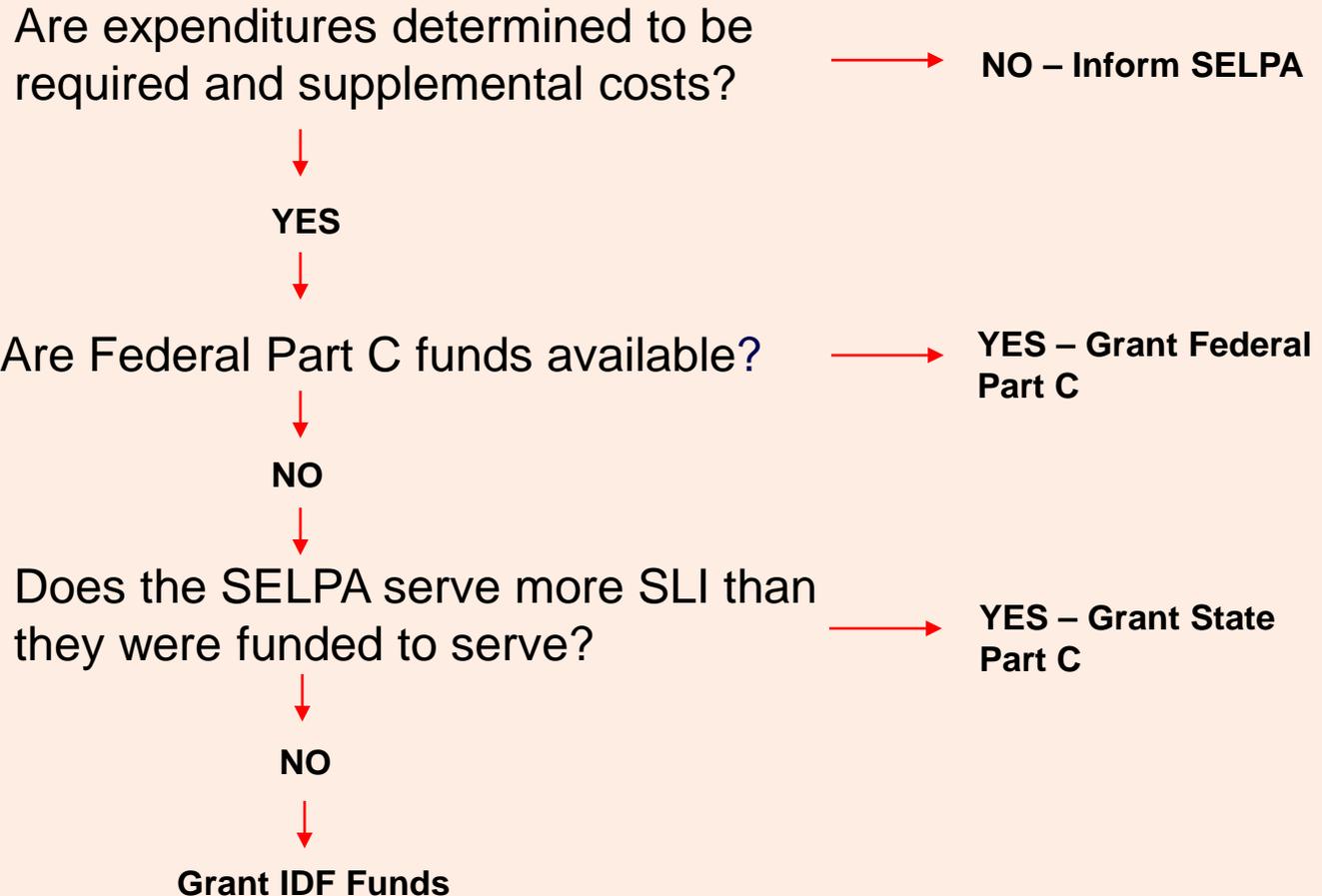
State Part C Grants

- Originally established as a part of funding model change in 1995-96 Budget Act
 - Allowed to use excess general fund if:
 - Federal Part C fund fully expended
 - Serve more infants and toddlers with SLI than funded to serve
- Originally a one time item, but remained in Budget Act
- Allocated to SELPAs who:
 - Meet Budget Act eligibility
 - Have excess required and supplemental costs
- Allocated in Spring can be used through September 30.



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Funding Decision Matrix





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So how many infants and toddlers do I have to serve as a minimum?

Total number to be served.

The total number of children to be served is the sum of the funded capacity (including the base SLI) and the increase SLI. For example:

IPSUs = 5

Funded capacity = 60

Base SLI = 5

Projected SLI = 20

Increased SLI = 15

Under this scenario, the funded capacity is 60 infants and toddlers. Five of these 60 infants and toddlers should have SLI disabilities. CDE projected 20 infants and toddlers with SLI, five of whom should be served in the base using IPSUs. CDE provided funds for 15 increase SLI, so the total number of infants and toddlers to be served equals 75.



Questions?

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